Case 3:14-cv-04908-JD Document 176 Filed 01/13/22 Page 1 of 28

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| 17 | UNITED STATES DISTRICT COURT NORTHERN DISTRICT OF CALIFORNIA | | |
| 18 | FINJAN LLC, | Case No. 4:14-CV-04908-JD | |
| 19 | Plaintiff, | JOINT CASE MANAGEMENT | |
| 20 | V. | STATEMENT AND [PROPOSED] ORDER | |
| 21 | PALO ALTO NETWORKS, INC., | Courtroom: 11, 19 th Floor | |
| 22 | Defendant. | Judge: Honorable James Donato | |
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Pursuant to Federal Rule of Civil Procedure 26(f), Civil Local Rule 16-9, Patent Local Rule 2-1(b), the Standing Order for All Judges of the Northern District of California – Contents of Joint Case Management Statement, the Court's Standing Order for Civil Cases, and the October 18, 2021 Order (Dkt. No. 172), the parties to the above-titled action, Plaintiff Finjan LLC ("Finjan") and Defendant Palo Alto Networks, Inc. ("PAN"), jointly submit the following Joint Case Management Statement and Proposed Order.

1. Jurisdiction and Service

This is an action for patent infringement arising under 35 U.S.C. § 101 et seq. The Court has subject matter jurisdiction over this action pursuant to 28 U.S.C. §§ 1331 and 1338(a). Venue is proper in this Court pursuant to 28 U.S.C. §§ 1391(b) and (c) and/or 1400(b). No issues exist regarding personal jurisdiction or service.

2. Facts

<u>Finjan's Infringement Claims</u>: Finjan filed this case over seven years ago—on November 4, 2014—asserting ten (10) patents. After Judge Hamilton lifted the stay on January 25, 2021 (Dkt. No. 84), Finjan filed an Amended Complaint on March 31, 2021 (Dkt. No. 112) and asserted the following seven (7) patents. Finjan contends it holds all rights, title, and interest in these patents.

- U.S. Patent No. 6,804,780 ("the '780 Patent");
- U.S. Patent No. 7,418,731 ("the '731 Patent");
- U.S. Patent No. 7,613,926 ("the '926 Patent");
- U.S. Patent No. 7,647,633 ("the '633 Patent");
- U.S. Patent No. 8,141,154 ("the '154 Patent");
- U.S. Patent No. 8,225,408 ("the '408 Patent"); and
- U.S. Patent No. 8,677,494 ("the '494 Patent").

For the other three originally-asserted patents (U.S. Patent Nos. 6,965,968, 7,058,822, and 7,613,918), Judge Hamilton dismissed with prejudice Finjan's claims for infringement and PAN's counterclaims and defenses based on a joint stipulation. (Dkt. No. 111.) In accordance with the stipulated case narrowing schedule ordered by Judge Hamilton on June 9, 2021 (Dkt. No. 126),

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| 1 | Finjan served a Preliminary Election of Asserted Claims on June 21, 2021—continuing to assert |
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| 2 | twenty-one (21) claims from the '731 Patent, the '154 Patent, the '408 Patent, and the '633 Paten |
| 3 | (collectively, "the Patents-in-Suit") and no claims from the '780 Patent, the '926 Patent, and the |
| 4 | '494 Patent (collectively, "the ESET Patents"). The parties have filed two joint stipulations and |
| 5 | proposed orders to dismiss the ESET Patents from the case (Dkt. Nos. 130, 156) in view of Judge |
| 6 | Bencivengo's judgment of invalidity of the '780 Patent and four other Finjan patents based on a |
| 7 | finding of indefiniteness (Finjan, Inc. v. ESET, LLC, No. 3:17-cv-0183-CAB-BGS, Dkt. No. 869 |
| 8 | (S.D. Cal. Mar. 29, 2021)). Although both stipulations were denied (Dkt. Nos. 131, 157), the |
| 9 | parties continue to work together to appropriately dismiss the ESET Patents from the case in |
| 10 | accord with the agreed conditions in the stipulation and the Court's Order and expect to present a |
| 11 | further plan at the CMC. |
| 12 | Finjan alleges that PAN has infringed and/or continues to infringe the Patents-in-Suit by |
| 13 | making, using, selling, offering for sale and/or importing "products and services that utilize the |
| 14 | Next Generation Enterprise Security Platform, App-ID, User-ID, Content-ID, Wildfire, Next- |
| 15 | Generation Intelligence Cloud, and Targeted Remote Attack Prevention System ('TRAPS' also |
| 16 | spelled as 'Traps')," including: "Next-Generation Security Platform, Next-Generation Firewall, |

Virtualized Firewall, WildFire Subscription, WildFire Platform, URL Filtering Subscription, Threat Prevention Subscription, and Advanced Endpoint Protection." (See, e.g., Dkt No. 112 ¶ 31.) On April 1, 2021, Finjan served Patent Local Rule 3-1 Infringement Contentions and identified the accused products as the Next-Generation Firewalls ("NGFWs"), WildFire, Traps, Threat Prevention, and URL Filtering.

Finjan additionally alleges that PAN has induced and/or continues to induce infringement of the Patents-in-Suit by instructing, directing and/or requiring others to perform the steps of method claims of these patents.

Finjan seeks damages and injunctive relief for PAN's infringement, as well as a finding that PAN's infringement has been willful and that this case is exceptional.

PAN's Defenses and Counterclaims: PAN filed its Answer to Finjan's Amended Complaint on April 14, 2021 (Dkt. No. 116), denying infringement and asserting affirmative

| 1 | defenses of non-infringement, invalidity, judicial estoppel, prosecution history estoppel and |
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| 2 | disclaimer, prosecution laches, ensnarement and prior art estoppel, adequate remedy at law, |
| 3 | limitations on damages, 28 U.S.C. § 1498, inequitable conduct as to the '494 Patent and the '154 |
| 4 | Patent, unclean hands as to the '494 Patent and the '154 Patent, failure to mark, and preclusion. |
| 5 | PAN also asserts counterclaims seeking declarations of non-infringement and invalidity of the |
| 6 | Patents-in-Suit, and unenforceability of the '494 Patent and '154 Patent due to inequitable conduct |
| 7 | and unclean hands. PAN further seeks a finding of an exceptional case. |
| 8 | On May 5, 2021, Finjan filed its Answer to PAN's counterclaims (Dkt. No. 121), denying |
| 9 | non-infringement, invalidity, and unenforceability, and asserting affirmative defenses of failure to |
| 10 | state a claim upon which relief may be granted, good faith, and equitable estoppel. On the same |
| 11 | day, Finjan also filed a motion to strike and dismiss PAN's affirmative defenses and counterclaims |
| 12 | related to inequitable conduct for not being sufficiently pleaded. (Dkt. No. 120.) After full |
| 13 | briefing (Dkt. No. 122 (PAN's opposition), Dkt. No. 124 (Finjan's reply)), Judge Hamilton denied |
| 14 | the motion on June 23, 2021 (Dkt. No. 131). |
| 15 | <u>Pre-Stay</u> : The Court initially assigned this case to Magistrate Judge Corley. (Dkt. No. 10.) |
| 16 | After Finjan declined magistrate judge jurisdiction (Dkt. No. 14), the case was reassigned to Judge |
| 17 | Chen on December 8, 2014 (Dkt. No. 16). Judge Chen recused himself from the case on October |
| 18 | 8, 2015 (Dkt. No. 56), and the case was reassigned to Judge Hamilton (Dkt. No. 57). On October |
| 19 | 15, 2015, Judge Hamilton ordered a Case Management Conference to be held on November 5, |
| 20 | 2015 (Dkt. No. 58), which Judge Hamilton rescheduled for December 3, 2015, on joint motion of |
| 21 | the parties (Dkt. No. 61). On November 25, 2015, the parties filed a Joint Case Management |
| 22 | Statement. (Dkt. No. 62.) |
| 23 | Five days later, PAN filed a motion to stay the case based on thirteen petitions for inter |
| 24 | partes review relating to asserted patents that PAN filed with the United States Patent and |
| 25 | Trademark Office's Patent Trial and Appeal Board ("PTAB"). (Dkt. No. 63.) Concurrent with |
| 26 | the motion to stay, PAN filed a motion for partial judgment on the pleadings of invalidity of the |
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'780 and '494 Patents under 35 U.S.C. § 101. (Id.)

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3 Case No. 4:14-cv-04908-JD JOINT CASE MANAGEMENT STATEMENT AND [PROPOSED] ORDER

At the Case Management Conference on December 3, 2015, Judge Hamilton indicated she

would stay the case pending the PTAB's decisions on whether to institute PAN's IPRs and ordered PAN to withdraw the motion for partial judgment on the pleadings. (Dkt. No. 65.) On December 10, 2015, Judge Hamilton entered the Order staying the case and withdrawing the motion for partial judgment on the pleadings. (Dkt. No. 67.) On May 23, 2016, the parties filed a Joint Status Report informing Judge Hamilton that the PTAB had granted institution of six IPR petitions on four asserted patents, but denied institution of seven IPR petitions on six asserted patents. (Dkt. No. 68.) Finjan requested Judge Hamilton to lift the stay as to the six patents on which the PTAB denied institution of the IPRs, while PAN requested Judge Hamilton to leave the stay in place for all patents through the pendency of the six instituted IPRs on the four asserted patents. (*Id.*) On May 26, 2016, Judge Hamilton ordered the stay to remain in place as to all patents. (Dkt. No. 69.)

The IPR Petitions: The IPR proceedings and the subsequent appeals to the Federal Circuit are complete, as the parties reported in the Joint Status Report on December 28, 2020. (Dkt. No. 68.) The chart below summarizes the results of the IPR proceedings for the ten originally-asserted patents.

| Asserted Patent | PAN IPR Petition | <u>Claims</u> Challenged | <u>Claims</u> <u>Instituted</u> | <u>Claims</u> Invalidated | <u>Claims</u> Surviving |
|-----------------|--|-------------------------------------|--|------------------------------|----------------------------|
| '633 | IPR2015-01974 | 1-4, 6-8, 13, 14, 19, 28, 34 | 14, 19 | None ¹ | 5-7, 9-10, 14- 45 |
| '154 | IPR2015-01979 | 1-8, 10, 11 | 1-8, 10, 11 | None | All |
| 134 | IPR2016-00151 | 1-12 | 1-8, 10, 11 | None | All |
| '408 | IPR2015-02001 IPR2016-00157 (Consolidated) | 1, 3-7, 9, 12-16, 18-23, 29, 35 | 1, 3-7, 9, 12- 16, 18-23, 29, 35 | None | All |
| '494 | IPR2016-00159 | 1-18 | 1-6, 10-15 | 1, 2, 6 | 3-5, 7-18 |
| '780 | IPR2016-00165 | 1-18 | None | None | All |
| '968 | IPR2016-00149 | 1-12, 24, 33-38 | None | None | All |
| 908 | IPR2016-00150 | 1-38 | None | None | All |
| '822 | IPR2015-01999 | 1, 4-6, 8, 9, 12, 16-20, 22, 24, 27 | None | None | All |
| '731 | IPR2015-02000 | 1-22 | None | None | All |
| '918 | IPR2016-00164 | 1-10, 12-19, 21, 34-36 | None | None | All |
| '926 | IPR2016-00145 | 1-5, 8-12, 15-19, 22-26, 29, 30 | None | None | All |

¹ In a separate IPR filed by Cisco Systems, Inc. (IPR2018-00391), the PTAB found claims 1-4, 8, and 11-13 of the '633 Patent to be unpatentable.

4 Case No. 4:14-cv-04908-JD JOINT CASE MANAGEMENT STATEMENT AND [PROPOSED] ORDER

Post-Stay: On joint request from the parties (Dkt. No. 83), Judge Hamilton lifted the stay on January 25, 2021, set a Case Management Conference on March 18, 2021, and ordered the parties to file a Joint Case Management Statement (Dkt. No. 84). The parties filed a Joint Case Management Statement on March 11, 2021. (Dkt. No. 104.) During the Case Management Conference on March 18, 2021, Judge Hamilton, among other things, ordered the parties to file a joint stipulation for case narrowing, have a settlement conference before Magistrate Judge Nathanael Cousins, and set the following dates: Technology Tutorial on September 29, 2021 and Claim Construction Hearing on either October 13, 2021 or October 14, 2021. (Dkt. No. 106.) No dates were set beyond the Claim Construction Hearing, and the parties agreed on all dates prior to the Claim Construction Hearing (Dkt. No. 104).

The parties have completed these dates other than the Technology Tutorial and Claim Construction Hearing, which were vacated in view of the Reassignment Order reassigning the case to Judge Donato on August 9, 2021 (Dkt. No. 150). These include Finjan's Patent Local Rule 3-1 Infringement Contentions (served on April 1, 2021), PAN's Patent Local Rule 3-3 Invalidity Contentions (served on May 17, 2021), Finjan's Patent Local Rule 3-8 Damages Contentions (served on July 6, 2021), and PAN's Patent Local Rule 3-9 Responsive Damages Contentions (served on August 5, 2021). The parties also filed a Stipulated Protective Order, which Judge Hamilton entered on March 31, 2021 (Dkt. No. 110) and a Stipulated ESI Order, which Judge Hamilton entered on April 20, 2021 (Dkt. No. 117).

The parties completed all claim construction discovery on August 16, 2021, including claim construction disclosures pursuant to Patent Local Rules 4-1, 4-2, 4-3, and 4-4. The parties have also completed claim construction briefing, including Finjan's opening claim construction brief filed on August 30, 2021 (Dkt. No. 158), PAN's responsive claim construction brief filed on September 13, 2021 (Dkt. No. 159), and Finjan's reply claim construction brief filed on September 20, 2021 (Dkt. No. 163). In accordance with paragraph 6 of the Court's Standing Order for Claim Construction in Patent Cases, the parties filed a final amended joint claim construction and pre-hearing statement on September 20, 2021. (Dkt. No. 164.)

1 In accordance with the Reassignment Order Setting CMC (Dkt. No. 151), the parties filed a Joint Case Management Statement on October 14, 2021 (Dkt. No. 171) ahead of a Case 2 3 Management Conference scheduled for October 20, 2021. On October 18, 2021, the Court 4 continued the Case Management Conference to January 20, 2022. (Dkt. No. 172.) 5 3. Legal Issues The principal disputed legal issues are: 6 7 The proper construction of any disputed claim terms; Whether PAN infringes any or all of the Patents-in-Suit, either directly (35 U.S.C. § 8 9 271(a)) or indirectly (35 U.S.C. § 271(b)); Whether the Patents-in-Suit are invalid (35 U.S.C. §§ 101, 102, 103, 112); 10 Whether the '494 and '154 Patents are unenforceable; 11 Whether Finjan's claims are barred by any defense raised by PAN; 12 Whether Finjan is entitled to damages as a result of the alleged infringement of any or all 13 14 of the Patents-in-Suit, and if so, the amount (35 U.S.C. § 284); Whether Finjan is entitled to injunctive relief to prevent irreparable harm as a result of the 15 alleged continuing infringement of any or all of the Patents-in-Suit (35 U.S.C. § 283); 16 Whether PAN's alleged infringement has been willful; and 17 Whether this case is exceptional (35 U.S.C. § 285). 18 19 4. Motions 20 (a) Pending Motions 21 PAN's Motion to Strike/Confirm re Finjan's Infringement Contentions: On September 16, 2021, PAN filed a motion to confirm Finjan has no operative infringement contentions for the 22 23 '633, '408, and '731 Patents and strike Finjan's amended infringement contentions for the '154 24 Patent ("Motion"). (Dkt. No. 161.) PAN concurrently filed an administrative motion to file under 25 seal portions of supporting materials to its Motion. (Dkt. No. 160.) On September 30, 2021, Finjan filed its opposition (Dkt. No. 165) and an administrative motion to file under seal portions 26

of its opposition and one exhibit (Dkt. No. 166). PAN filed a declaration in support of Finjan's

sealing motion on October 4, 2021. (Dkt. No. 168.) PAN filed its reply in support of the Motion

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on October 7, 2021. (Dkt. No. 170.) PAN originally noticed the hearing for the Motion on October 21, 2021 at 10:00 am, but on October 18, 2021, the Court continued the hearing to January 20, 2022. (Dkt. No. 172.)

The Motion is preceded by PAN's motion to strike Finjan's initial infringement contentions for the Patents-in-Suit, filed on June 15, 2021. (Dkt. No. 128.) After full briefing (Dkt. No. 132 (Finjan's opposition), Dkt. No. 139 (PAN's reply)), Judge Hamilton issued an order granting-in-part and otherwise denying PAN's motion to strike. (Dkt. No. 146.) Judge Hamilton ordered Finjan to "serve amended infringement contentions in accordance with this order" within 30 days (August 19, 2021). (*Id.* at 4.) The parties disagree on the scope of the portion of the order granting the motion in-part, and that disagreement is reflected in the parties' briefing. (*See* Dkt. Nos. 160, 165, 170.) On the same day that the Court issued its Order on PAN's motion to strike (Dkt. No. 146), it also terminated Finjan's then-pending motion to amend its infringement contentions (Dkt. No. 147).

PAN's Motion for Leave to Amend PAN's Invalidity Contentions: On December 30, 2021, PAN filed a motion for leave to amend its invalidity contentions to include supplemental invalidity contentions served on Finjan on July 6, 2021 and October 6, 2021—which PAN contends is based on information that it acquired after the deadline for service of its initial invalidity contentions. (Dkt. No. 175.) PAN noticed the hearing for February 3, 2022 at 10:00 am. (*Id.*) In accordance with the Local Rules, Finjan will file its opposition on January 13, 2021, and PAN will file its reply on January 20, 2021.

(b) Anticipated Motions

Discovery Dispute on Documents Concerning Valuations of the Patents-in-Suit: PAN intends to seek relief from the Court on certain documents that Finjan is withholding based on privilege. The documents PAN believes Finjan is withholding include documents exchanged with Fortress Investment Group ("Fortress") and other third parties concerning valuations of the Patents-in-Suit (whether indirect or direct) prior to Fortress's acquisition of Finjan. Despite communications between the parties on this issue, the parties remain at an impasse.

The '780 Patent, the '926 Patent, and the '494 Patent (collectively, "the ESET Patents"):

The parties have filed two joint stipulations and proposed orders to dismiss the ESET Patents from the case (Dkt. Nos. 130, 156) in view of Judge Bencivengo's judgment of invalidity of the '780 Patent and four other Finjan patents based on a finding of indefiniteness (*Finjan, Inc. v. ESET, LLC*, No. 3:17-cv-0183-CAB-BGS, Dkt. No. 869 (S.D. Cal. Mar. 29, 2021)). Although both stipulations were denied (Dkt. Nos. 131, 157), the parties continue to work together to appropriately dismiss the ESET Patents from the case in accord with the agreed conditions in the stipulation and the Court's Order and expect to present a further plan at the CMC.

<u>Finjan's Motion for Leave to Amend Infringement Contentions</u>: Finjan intends to move for leave to amend its infringement contentions to add citations to source code and core technical documents that Finjan believes PAN produced too late for Finjan to include in its April 1, 2021 contentions, and to add pinpoint source code citations.

Other: Finjan and PAN each anticipate moving for summary judgment and may file other dispositive and non-dispositive motions as appropriate as the case progresses. The parties understand that the Local Rules of this Court, and applicable paragraphs of the Court's Standing Order for Civil Cases, Discovery in Civil Cases, and Civil Jury Trials apply to motions filed in this case.

5. Amendment of Pleadings, Addition of Parties, Etc.

The deadline to amend pleadings without leave of the Court passed on April 1, 2021. On that day, Finjan filed an Amended Complaint (Dkt. No. 112) as discussed above.

6. Evidence Preservation

The parties have reviewed the Northern District of California's Guidelines for the Discovery of Electronically Stored Information ("ESI"), the Northern District of California's Model ESI Order, and Checklist for Rule 26(f) Meet and Confer Regarding ESI, including those portions related to evidence preservation. Additionally, the parties have met and conferred at their Rule 26(f) conference and since that time regarding evidence preservation. Each party has implemented a litigation hold with respect to all ESI and hardcopy documents and media identified as relevant to this action.

7. Disclosures

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April 30, 2021.

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The parties exchanged initial disclosures pursuant to Rule 26(a) on February 12, 2015. Given the time that passed due to the stay, the parties exchanged amended initial disclosures on

8. Discovery

Pre-Stay: The parties met and conferred pursuant to Rule 26(f) on January 23, 2015. On the same day, each party served its first sets of requests for production and interrogatories on the other party. Prior to the stay, Finjan served additional requests for production and interrogatories on PAN. The parties produced documents and provided interrogatory responses. In addition, PAN made its source code available to Finjan, and Finjan conducted source code review. Finjan also served a Rule 30(b)(6) notice on PAN related to its source code, and Finjan took one deposition of a PAN employee pursuant to certain topics of that notice. PAN served subpoenas on third parties and some of those third parties produced documents in response.

<u>Post-Stay</u>: The parties agreed to discovery provisions set forth in Section 8 of the Joint Case Management Statement filed on March 11, 2021 (Dkt. No. 104). Each party has served additional requests for production and interrogatories on the other party. The parties have made additional document productions in response to discovery requests and in accordance with the Patent Local Rules 3-2 and 3-4. The parties have also responded to interrogatories and served supplemental interrogatory responses. PAN made additional source code available to Finjan, and Finjan conducted source code review. PAN served subpoenas on additional third parties, and some of those third parties have produced documents in response. As PAN stated in its motion to strike described in Section 4, "PAN has produced all requested versions of its source code and all core technical documents." (Dkt. No. 161-1.) The parties are also discussing email discovery in accordance with the Stipulated ESI Order, which Judge Hamilton entered on April 14, 2021 (Dkt. No. 117), the Court's Standing Order for E-Discovery and Email Discovery in Patent Cases, and any other applicable rules and orders.

The parties are communicating regarding the sufficiency of certain discovery responses. For instance, the parties have been corresponding regarding the sufficiency of Finjan's production of documents requested by PAN from previous litigations. The parties have further been

corresponding regarding the sufficiency of Finjan's damages contentions. If the parties reach an impasse on any of these issues, the parties will appropriately comply with the Local Rules and the Court's Standing Order for Discovery in Civil Cases.

Pursuant to Rule 26(f), paragraph 8 of the Standing Order for All Judges of the Northern District of California – Contents of Joint Case Management Statement, and the Court's Standing Order for Discovery in Civil Cases, the parties propose the following discovery plan:

(a) Changes to the timing, form, or requirement for disclosures under Rule 26(a), including a statement of when initial disclosures were made or will be made.

As noted above, the parties exchanged Rule 26(a) initial disclosures on February 12, 2015 and amended initial disclosures on April 30, 2021. The parties' respective proposals regarding the timing for expert disclosures under Rule 26(a)(2) and pretrial disclosures under Rule 26(a)(3) are set forth in the proposed schedule at Section 17 (Appendix A) below.

(b) Subjects on which discovery may be needed, when discovery should be completed, and whether discovery should be conducted in phases or be limited to or focused on particular issues.

The parties anticipate that the scope of discovery will encompass the factual and legal issues identified in Sections 2 and 3 above, and the requested relief discussed in Section 11 below. The parties' respective proposals regarding when discovery should be completed are set forth in the proposed schedule in Section 17 (Appendix A) below.

(c) Any issues about disclosure or discovery of electronically stored information, including the form or forms in which it should be produced.

The parties filed a Stipulated ESI Order, which Judge Hamilton entered on April 14, 2021. (Dkt. No. 117.) As indicated above, the parties are discussing email discovery in accordance with the Stipulated ESI Order (Dkt. No. 117), the Court's Standing Order for E-Discovery and Email Discovery in Patent Cases, and any other applicable rules and orders.

(d) Any issues about claims of privilege or of protection as trial-preparation materials, including — if the parties agree on a procedure to assert these claims after production — whether to ask the court to include their agreement in an order.

The parties agree that neither party will produce nor list on any privilege log any item

10 Case No. 4:14-cv-04908-JD

JOINT CASE MANAGEMENT STATEMENT AND [PROPOSED] ORDER

| protected by any privilege, immunity, or protection that occurred or was/is created on or after the |
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| filing date of this litigation. The parties understand that the detail required for privilege logs is set |
| forth in paragraph 7 of the Court's Standing Order for Discovery in Civil Cases. The parties agree |
| to exchange privilege logs on the date set forth in the proposed schedule at Section 17 (Appendix |
| A) below. Subject to the foregoing, the parties agree that issues of privilege or work product shall |
| be addressed as provided in the Federal Rules of Civil Procedure, Federal Rule of Evidence 502, |
| Stipulated Protective Order (Dkt. No. 110), and paragraph 7 of the Court's Standing Order for |
| Discovery in Civil Cases. |
| |

(e) Changes that should be made in the limitations on discovery imposed under these rules or by local rule, and what other limitations should be imposed.

To the extent not limited below, and unless otherwise agreed to by the parties, the parties agree that discovery is subject to the limitations set forth in the Federal Rules of Civil Procedure, Local Rules of this Court, the Court's Standing Order for Discovery in Civil Cases, and the Stipulated ESI Order (Dkt. No. 117). If a party requests discovery that exceeds any of the limitations set forth below, the parties agree to meet and confer in a good faith to attempt to resolve the issue without intervention of the Court. If the parties are unable to reach agreement, a party may seek leave from the Court for the additional discovery.

(i) Requests for Production of Documents and Things

The parties agree that there shall be no limit on the number of requests for production of documents and things that each side may serve.

(ii) Interrogatories

The parties agree that each side may serve up to 25 interrogatories.

(iii) Request for Admissions

The parties agree that each side may serve up to 45 requests for admission. Requests for admission related to the authentication of documents are exempt from this limitation and shall be unlimited in number.

(iv) <u>Depositions</u>

Fact Depositions:

11 Case No. 4:14-cv-04908-JD JOINT CASE MANAGEMENT STATEMENT AND [PROPOSED] ORDER

The parties agree that each side is limited to 84 hours of deposition time for fact depositions, including individual and Rule 30(b)(6) depositions of the other party as well as third party depositions. Expert depositions will not count towards these limits.

The parties agree that individual depositions are limited to 7 hours of deposition time. While the 7 hour per witness limit does not apply to Rule 30(b)(6) witnesses, each deposition day will be limited to 7 hours. Individual depositions requiring an interpreter are limited to 14 hours of deposition time and will be conducted on two consecutive days. Individual and Rule 30(b)(6) depositions requiring an interpreter count as half time against the deposition limit.

Expert Depositions:

The parties agree that an expert witness may be deposed for up to 7 hours for each primary issue (*e.g.*, infringement, invalidity, damages) on which that expert has provided an opinion. Thus, if an expert provides an opinion regarding infringement as well as an opinion regarding invalidity, then that expert may be deposed for up to 7 hours regarding infringement and for up to 7 hours regarding invalidity, for a maximum total of 14 hours of deposition time. The parties also agree to meet and confer in good faith in the period leading up to expert depositions regarding whether adjustments to the default rule should be made in light of the issues that remain in the case and the number of Patents-in-Suit that the expert addresses.

Subject to the foregoing, the parties understand that paragraphs 8-16 of the Court's Standing Order for Discovery in Civil Cases apply to depositions in this case.

(f) Protective Order

The parties filed a Stipulated Protective Order, which Judge Hamilton entered on March 31, 2021. (Dkt. No. 110.) The parties have also entered into a COVID-19 Source Code Review Protocol.

(g) <u>Discovery from Experts</u>

The parties agree that the Federal Rules of Civil Procedure (e.g., Rule 26(b)(4)), the Local Rules of this Court, and paragraph 17 of the Court's Standing Order for Discovery in Civil Cases govern discovery from experts in this case.

(h) Service

The parties agree that service via email is acceptable pursuant to Rule 5(b)(2)(E). Documents served on a party shall be emailed to all attorneys of record for that party.² The parties further agree to the following:

- Discovery Requests: Service by email shall be deemed effective if served by 5 pm Pacific Time and if not, shall be deemed effective on the following day.
- Discovery Responses: Service by email shall be effective on the calendar date served. (For the sake of clarity, discovery responses may be served up to midnight Pacific Time on the last day on which the responses are due.)

9. Class Actions

Not Applicable.

10. Related Pending Cases

Information concerning other pending litigations and proceedings before the United States

Patent and Trademark Office involving the Patents-in-Suit is provided at Appendix B.

11. Relief

Finjan seeks entry of judgment finding that PAN has infringed and/or continues to infringe the Patents-in-Suit, both directly and indirectly, and that PAN's infringement has been willful. Finjan also seeks injunctive relief, including an injunction against PAN from infringing the Patents-in-Suit. Finjan also seeks monetary damages, including damages based on a calculation of lost profits or an amount no less than a reasonable royalty, and Finjan anticipates it will seek no less than \$100 million based on the publicly available information, up from \$60 million reported in the last Joint Case Management Statement due to the damages continuing to accrue during the stay. Finjan further seeks a judgment that this case is exceptional and an award of Finjan's costs and reasonable attorneys' fees. Finjan also seeks an accounting of all sales and revenues, together with pre-judgment and post-judgment interest. Finjan seeks any other relief available under applicable law.

² Service upon Finjan via email shall be effective only with Finjan-PAN_Fish-Service@fr.com. Service upon PAN via email shall be effective only with MoFo-PAN-Finjan@mofo.com.

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PAN seeks a declaration that PAN does not infringe the Patents-in-Suit. PAN further seeks a declaration that the Patents-in-Suit are invalid and that the '494 and '154 Patents are unenforceable due to inequitable conduct and unclean hands. PAN also seeks judgment that Finjan filed this action without a good faith basis, and is thus liable for attorneys' fees, expenses, and costs incurred by PAN in connection with this action.

12. Settlement and ADR

Pursuant to ADR L.R. 3-5, the parties reviewed the Court's ADR handbook, discussed the available ADR procedures and considered whether this case would benefit from an ADR procedure. The parties chose mediation as the ADR process for this case pursuant to ADR L.R. 6. (Dkt. No. 35.) Prior to the stay, a mediation session took place on September 15, 2015 with mediator Vicki Veenker. After the stay and at the Case Management Conference on March 18, 2021, Judge Hamilton referred the case to a settlement conference before Magistrate Judge Cousins. (Dkt. No. 106.) The parties have since submitted confidential Settlement Conference Statements to Magistrate Judge Cousins on August 3, 2021, and appeared via Zoom at a Settlement Conference on August 10, 2021. (Dkt. No. 152.) Magistrate Judge Cousins ordered the parties provide the Court with a confidential update on November 2, 2021, with the settlement conference to continue November 9, 2021, at 9:30 am, by Zoom. (*Id.*) Those dates were continued, respectively, to March 4, 2022 and March 11, 2022, at 9:30 am, by Zoom. (Dkt. No. 174.)

13. Consent to Magistrate Judge

The parties do not consent to have a magistrate judge conduct all further proceedings including trial and entry of judgment.

14. Other References

The parties do not believe that this case is suitable for reference to binding arbitration, a special master, or the Judicial Panel on Multidistrict Litigation.

15. Narrowing of Issues

In accordance with Judge Hamilton's instructions at the Case Management Conference held on March 18, 2021, the parties filed a stipulated case narrowing schedule, which Judge

Hamilton entered on June 9, 2021. (Dkt. No. 126.) In accordance with the schedule, Finjan served a Preliminary Election of Asserted Claims on June 21, 2021, and PAN served a Preliminary Election of Asserted Prior Art on July 6, 2021. The remaining elections and details are set forth in the ordered case narrowing schedule. (Dkt. No. 126) A claim construction hearing and order will allow the parties to further narrow the case as the remaining elections depend upon the timing of the claim construction order. The schedule, as entered, is reproduced below. (*Id.*)

| Election | <u>Timing</u> | Max Claims/ References <u>Per Patent</u> | Total Claims/ <u>References</u> |
|-----------------------|---|--|---------------------------------------|
| Preliminary Election | Not later than 35 days after PAN's | 10 | 21 |
| of Asserted Claims | invalidity contentions, <i>i.e.</i> , June 21, 2021 | | |
| Preliminary Election | Not later than 15 days after Preliminary | 12 | 30 |
| of Asserted Prior Art | Election of Asserted Claims, i.e., July | | |
| | 6, 2021 | | |
| Second Election of | Not later than 21 days after Claim | 6 | 14 |
| Asserted Claims | Construction Order | | |
| Second Election of | Not later than 14 days after Second | 7 | 20 |
| Asserted Prior Art | Election of Asserted Claims | | |
| Third Election of | Parties to meet and confer and submit | TBD | TBD |
| Asserted Claims and | competing proposal if necessary no less | | |
| Asserted Prior Art | than 45 days before opening expert | | |
| | reports | | |

The parties have also made the required exchanges and filings under the Patent Local Rules, as follows.

| <u>Disclosure</u> | <u>Date</u> |
|---|----------------|
| Patent L.R. 3-1 Disclosure of Asserted | April 1, 2021 |
| Claims and Infringement Contentions | |
| Patent L.R. 3-2 Document Production | April 1, 2021 |
| Accompanying Disclosure | |
| Patent L.R. 3-3 Invalidity Contentions | May 17, 2021 |
| Patent L.R. 3-4 Document Production | May 17, 2021 |
| Accompanying Invalidity Contentions | |
| Patent L.R. 3-8 Damages Contentions | July 6, 2021 |
| Patent L.R. 3-9 Responsive Damages | August 5, 2021 |
| Contentions | |
| Patent L.R. 4-1 Exchange of Proposed | June 1, 2021 |
| Terms for Construction | |
| Patent L.R. 4-2 Exchange of Preliminary | June 22, 2021 |
| Claim Constructions and Extrinsic | |
| Evidence | |

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| <u>Disclosure</u> | <u>Date</u> |
| Patent L.R. 4-3 Joint Claim Construction | Initial Statement: July 16, 2021 (Dkt. No. 142) |
| and Prehearing Statement and Expert | Final Amended Statement: September 20, 2021 |
| Reports | (Dkt. No. 164) |
| | Exchange of Expert Reports: July 30, 2021 |
| Patent L.R. 4-4 Depositions | Dr. Orso (for Finjan): August 13, 2021 |
| | Dr. Rubin (for PAN): August 16, 2021 |
| Patent L.R. 4-5 Claim Construction | Opening (Dkt. No. 158): August 30, 2021 |
| Briefs | Response (Dkt. No. 159): September 13, 2021 |
| | Reply (Dkt. No. 163): September 20, 2021 |

16. Expedited Schedule

The parties do not propose that this case proceed under the Expedited Trial Procedure of General Order No. 64 Attachment A.

17. Scheduling

The parties' agreed scheduling proposal is set forth in the chart attached hereto as Appendix A.

18. Trial

The parties have requested trial by jury. Finjan expects that the trial will require approximately seven to ten court days. PAN believes it is premature to estimate the time for trial, as the parties do not yet know how many patents and patent claims will be asserted at trial. If Finjan maintains all of the Patents-in-Suit in this case, PAN estimates the trial will take no less than three weeks.

19. Disclosure of Non-party Interested Entities or Persons.

The parties have filed Certifications of Interested Entities or Persons pursuant to Civil Local Rule 3-15. (Dkt. No. 3 (Finjan's certification); Dkt. No. 25 (PAN's certification).)

Finjan was recently converted from a corporation to a limited liability company, and in conjunction, changed its name from Finjan, Inc. to Finjan LLC. Finjan has filed an unopposed motion to amend the caption to reflect that name change. (Dkt. No. 101.) The Court granted Finjan's motion. (Dkt. No. 103.) The company of which Finjan is a wholly owned subsidiary also recently went through a similar conversion and changed its name from Finjan Holdings, Inc. to Finjan Holdings LLC. Finjan has filed an updated Certification of Interested Parties or Persons to reflect that name change, as well. (Dkt. No. 102.) Finjan confirms that Finjan LLC is wholly-

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owned by Finjan Holdings LLC, and no publicly held corporation owns 10% or more of Finjan LLC's stock.

PAN confirms that no other entities have a financial interest in the subject matter in controversy or PAN, or a non-financial interest in that subject matter or PAN that could be substantially affected by the outcome of this proceeding.

20. Professional Conduct

The attorneys of record confirm that they have reviewed the Guidelines for Professional Conduct for the Northern District of California.

21. Other Matters

Pursuant to Patent Local Rule 2-1(b), the parties have also met and conferred regarding the following additional matters:

(1) Proposed modification of the obligations or deadlines set forth in the Patent Local Rules

The parties do not propose any modifications to the obligations or deadlines set forth in the Patent Local Rules at this time.

(2) The scope and timing of any claim construction discovery including disclosure of and discovery from any expert witness permitted by the court

As discussed above, the parties completed all claim construction discovery on August 16, 2021, including claim construction disclosures pursuant to Patent Local Rules 4-1, 4-2, 4-3, and 4-4. Each party served a claim construction expert report pursuant to Patent Local Rule 4-3 on July 30, 2021. Depositions of the parties' claim construction experts occurred, respectively, on August 13, 2021 (Finjan's expert) and August 16, 2021 (PAN's expert).

The parties have also completed claim construction briefing, including Finjan's opening claim construction brief filed on August 30, 2021 (Dkt. No. 158), PAN's responsive claim construction brief filed on September 13, 2021 (Dkt. No. 159), and Finjan's reply claim construction brief filed on September 20, 2021 (Dkt. No. 163). In accordance with the Court's Standing Order for Claim Construction in Patent Cases, the parties filed a final amended joint claim construction and pre-hearing statement on September 20, 2021. (Dkt. No. 164.) PAN notes

that in addition to the disputed terms that are identified in the joint claim construction and prehearing statement, the parties presently dispute the meaning of at least 10 other terms that may require resolution by the Court. *O2 Micro Int'l Ltd. v. Beyond Innovation Tech. Co.*, 521 F.3d 1351, 1360 (Fed. Cir. 2008). Pursuant to the Court's Order (Dkt. No. 141), the parties identified only "ten claim terms in the joint claim construction statement." (Dkt. No. 141 at 2.) Finjan notes that PAN already moved to construe more than 10 terms (Dkt. No. 135), Finjan opposed (Dkt. No. 136), and Judge Hamilton denied PAN's motion (Dkt. No. 141).

(3) The format of the Claim Construction Hearing, including whether the Court will hear live testimony, the order of presentation, and the estimated length of the hearing

In accordance with paragraph 14 of the Court's Standing Order for Claim Construction in Patent Cases, the parties understand that "[t]he claim construction hearing generally will be scheduled for no longer than 3 hours on a Thursday afternoon" and the parties will request a telephone conference with the Court as soon as it is apparent that "a special setting is necessary." At this time, the parties anticipate that they will not require more than three (3) hours for the entire hearing. The parties agree that each side will be allocated half of the total time permitted for the hearing. The parties agree that the presentation of argument at the hearing should be in the manner and order that the Court prefers to address the issues, particularly given the nature of the Patents-in-Suit, the technology involved and the number and nature of claim terms that remain in dispute at the time of the hearing. PAN proposes proceeding patent-by-patent, with Finjan proceeding first and PAN second, with rebuttal by Finjan and sur-rebuttal by PAN. Finjan proposes grouping the terms in a logical manner, and doing so once the parties have identified the terms for construction. The parties do not believe that live expert testimony is required at the claim construction hearing, but reserve the right to present live expert testimony at the claim construction hearing.

(4) How the parties intend to educate the court on the technology at issue

In accordance with paragraph 7 of the Court's Standing Order for Claim Construction in Patent Cases, the parties understand that "[t]he Court may schedule a tutorial to occur one to two weeks prior to the claim construction hearing" and that "[n]o argument is permitted." The parties

| 1 | also understand that "Court has a strong preference for i | individuals other than counsel to make the | | |
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| 2 | presentations" such as "[i]nventors, company personnel and individuals who work directly with | | | |
| 3 | 3 the technology." The parties have each proposed a date | the technology." The parties have each proposed a date for the tutorial in Appendix A. The | | |
| 4 | 4 parties will comply with paragraphs 7 and 8 if a tutorial | is scheduled, including filing a written | | |
| 5 | 5 technology synopsis at least 7 court days before the tuto | rial. | | |
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| 6 | DATED I 12 2022 | / / D: 1 O W W . |
| 7 | DATED: January 13, 2022 | /s/ Diek O. Van Nort Michael A. Jacobs (CA SBN 111664) MJacobs@mofo.com |
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| 25 | | Attorneys for Defendant |
| 26 | | PALO ALTO NETWORKS, INC. |
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ATTESTATION PURSUANT TO CIVIL L.R. 5-1(i)

In accordance with Civil Local Rule 5-1(i)(3), I attest that concurrence in the filing of this document has been obtained from any other signatory to this document.

/s/ Phillip W. Goter
Phillip W. Goter

Phillip W. Goter

APPENDIX A

Proposed Case Schedule

| Event | Applicable Rule or Order | Agreed Date |
|--|--|--|
| Written Technology Synopsis | Judge Donato's Standing Order for Claim Construction, ¶ 8 | Tuesday, February 15, 2022 |
| | At least 7 court days before the Tutorial | |
| Claim Construction Tutorial | Judge Donato's Standing Order for Claim Construction, ¶ 7 | Thursday, February 24, 2022 (subject to the convenience of the Court's calendar) |
| | 1-2 weeks prior to the Claim Construction Hearing | , |
| Claim Construction Hearing | Patent L.R. 4-6; Judge Donato's Standing Order for Claim Construction, ¶ 14 | Thursday, March 3, 2022 (subject to the convenience of the Court's calendar) |
| | Schedule for no longer than 3 hours on a Thursday afternoon | |
| Subsequent Case Management Conference | Judge Donato's Standing Order for Claim Construction, ¶ 15 | Set upon issuance of the claim construction order (subject to the convenience of the Court's calendar) |
| Second Election of Asserted Claims | Stipulated Case Narrowing Schedule as entered by Judge Hamilton (Dkt. No. 126) | Not later than 21 days after issuance of the claim construction order |
| Patent L.R. 3-7 Disclosures | Patent L.R. 3-7 | Not later than 30 days after issuance of the claim construction order |
| Second Election of Asserted Prior Art | Stipulated Case Narrowing Schedule as entered by Judge Hamilton (Dkt. No. 126) | Not later than 14 days after the Second Election of Asserted Claims |
| Exchange of Privilege Logs | Judge Donato's Standing Order for Discovery in Civil Cases, ¶ 7 | Wednesday, June 1, 2022 |
| Close of Fact Discovery ³ | | Friday, July 1, 2022 |

³ The "Standing Order for All Judges of the Northern District of California—Contents of Joint Case Management Statement," paragraph 17, requires the parties to provide "[p]roposed dates for designation of experts, discovery cutoff, hearing of dispositive motions, pretrial conference and trial." Accordingly, the parties have proposed competing dates through trial, but the parties understand that dates for the hearing on dispositive motions, the final pretrial conference and the

| Event | Applicable Rule or Order | Agreed Date |
|--------------------------------|----------------------------------|-----------------------------|
| Last Day to Meet and Confer | Stipulated Case Narrowing | Tuesday, July 5, 2022 |
| and Submit Competing | Schedule as entered by Judge | |
| Proposals for a Third Election | Hamilton (Dkt. No. 126) | |
| of Asserted Claims and Prior | | |
| Art If Necessary | No less than 45 days before | |
| | opening expert reports | |
| Opening Expert Reports | | Friday, August 19, 2022 |
| Rebuttal Expert Reports | | Friday, October 7, 2022 |
| Close of Expert Discovery | | Monday, November 14, |
| - | | 2022 |
| Opening Summary | | Friday, December 30, 202 |
| Judgment/Daubert Briefs | | - |
| Responsive Summary | | Friday, January 20, 2023 |
| Judgment/Daubert Briefs | | |
| Reply summary | | Friday, February 3, 2023 |
| judgement/Daubert briefs | | |
| Summary judgment/Daubert | Judge Donato's Standing | Thursday, March 2, 2023 |
| Hearing | Order for Civil Cases, ¶ 4 | (subject to the convenience |
| | | of the Court's calendar) |
| | Notice non-discovery motions | |
| | for any Thursday (excepting | |
| | holidays) at 10:00 am | |
| Final Pretrial Conference | Judge Donato's Standing | Thursday, May 4, 2023 |
| | Order for Civil Jury Trials, ¶ 1 | (subject to the convenience |
| | | of the Court's calendar) |
| | 19 days before start of trial on | |
| | Thursdays at 1:30 pm | |
| Trial | Judge Donato's Standing | Monday, May 22, 2023 |
| | Order for Civil Cases, ¶ 4 | (subject to the convenience |
| | | of the Court's calendar) |
| | No more than 18 months | |
| | between the CMC and Trial | |

trial are all subject to the Court's availability and convenience, and that the Patent Local Rules do not provide for dates beyond the claim construction hearing.

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APPENDIX B

Other Pending Proceedings Involving The Patents-in-Suit

On July 1, 2016, Finjan filed a lawsuit against ESET, LLC et al. in this district, and on January 27, 2017, the case was transferred to the United States District Court for the Southern District of California (Case No. 3-17-cv-00183), alleging that ESET infringes one of the ten patents asserted against PAN in the instant lawsuit—specifically the '780 Patent—along with five other patents, though the parties here have moved to dismiss the '780 Patent from the case, per the agreed stipulation referenced above. This case was pending before the Honorable Cathy Ann Bencivengo. The ESET court issued a summary judgment order finding the '780 Patent and four other Finjan patents invalid under 35 U.S.C. § 112 for indefiniteness ("ESET Order"). Finjan appealed the ESET Order, and its predicate claim construction order, to the Federal Circuit. The parties are in the midst of the appellate briefing.

On August 4, 2017, Finjan filed a lawsuit against SonicWall, Inc. in this district (Case No. 5-17-cv-04467), alleging that SonicWall infringes eight of the ten patents asserted against PAN in the instant lawsuit—specifically the '780 Patent, the '968 Patent, the '822 Patent, the '926 Patent, the '633 Patent, the '154 Patent, the '408 Patent, and the '494 Patent—along with two additional patents, though Finjan has subsequently withdrawn the '822 Patent and the '633 Patent from the SonicWall case. However, in the PAN litigation, the Court dismissed the '822 Patent, and the parties have moved to dismiss the '780 Patent, the '494 Patent, and the '926 Patent, per the agreed stipulation referenced above. The Honorable Beth Labson Freeman in the San Jose Division entered final judgment on September 8, 2021. Finjan filed its notice of appeal on October 8, 2021.

On September 29, 2017, Finjan filed a lawsuit against Juniper Networks, Inc. in this district (Case No. 3-17-cv-05659), alleging that Juniper infringes seven of the ten patents asserted against PAN in the instant lawsuit—specifically the '780 Patent, the '731 Patent, the '926 Patent, the '633 Patent, the '154 Patent, the '408 Patent, and the '494 Patent—and two additional patents. Following a determination that Juniper did not infringe the '780, '154 and '494 Patents, claims under the other patents were dismissed with prejudice. The non-infringement determination was

| confirmed on appeal. Post-judgment proceedings occurred before the Hon. William Alsup in the |
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| San Francisco Division. These issues are currently up on appeal to the Federal Circuit, and the |
| parties are in the midst of the appellate briefing. PAN notes that the issue on appeal (Dkt. No. |
| 673) is Judge Alsup's order (Dkt. No. 669) that Finjan pay approximately \$5.9 million in |
| attorney's fees and costs to Juniper based on the determination that Finjan's assertions of the '494 |
| and '780 Patents was exceptional (Dkt. No. 648). Finjan notes that the only issue on appeal is the |
| award of attorney's fees. Finjan further notes that none of the procedural issues or litigation |
| strategies that led to Judge Alsup's determination are present here, (see Dkt. No. 648), and that it |
| has retained different counsel in this case. |

On October 1, 2018, Finjan filed a lawsuit against Rapid7, Inc. et al. in the United States District Court for the District of Delaware (Case No. 1-18-cv-01519), alleging that Rapid7 infringes four of the ten patents asserted against PAN in the instant lawsuit—specifically the '918 Patent, the '154 Patent, the '408 Patent, and the '494 Patent—and three additional patents. Moreover, in the PAN litigation, the Court dismissed the '918 Patent, and the parties have moved to dismiss the '494 Patent, per the agreed stipulation referenced above. This case is pending before the Honorable Christopher J. Burke. The parties were set to begin trial but stipulated to a stay of the litigation pending the completion of the appellate review in the ESET action. The court entered the stay order on July 2, 2021.

On October 26, 2018, Finjan filed a lawsuit against Fortinet, Inc. in this district (Case No. 3-18-cv-06555), alleging that Fortinet infringes six of the ten patents asserted against PAN in the instant lawsuit—specifically the '968 Patent, the '822 Patent, the '731 Patent, the '633 Patent, the '408 Patent, and the '494 Patent—and three additional patents. However, in the PAN litigation, the Court dismissed the '822 Patent and the '968 Patent, and the parties have moved to dismiss the '780 Patent, the '494 Patent, and the '926 Patent, per the agreed stipulation referenced above. This case is pending before Your Honor in the San Francisco division and is currently stayed. PAN notes that the Court stayed the case due to overlapping issues in Finjan's other cases, *e.g.*, "case management issues with respect to the multiple cases in this district in which the same Finjan patents and claims are asserted." (Dkt. Nos. 32, 38, 43.) Finjan notes that this case, as

discussed in Section 2 (Facts) and Section 8 (Discovery), is much further along procedurally than the *Fortinet* case. For example, *Fortinet* was stayed before any appreciable discovery was completed. In contrast, the parties in this case have served infringement, invalidity, and damages contentions, and fully briefed claim construction. Furthermore, this case was previously stayed more than five years for PAN to litigate its 13 IPRs against the Asserted Patents—prevailing on just three of 198 challenged claims.

On November 29, 2018, Finjan filed a lawsuit against Qualys, Inc. in this district (Case

On November 29, 2018, Finjan filed a lawsuit against Qualys, Inc. in this district (Case No. 4-18-cv-07229), alleging that Qualys infringes five of the ten patents asserted against PAN in the instant lawsuit—specifically the '968 Patent, the '731 Patent, the '154 Patent, the '408 Patent, the '494 Patent—and two additional patents, though Finjan has subsequently withdrawn the '968 Patent and the '154 Patent. However, in the PAN litigation, the Court dismissed the '968 Patent, and the parties have moved to dismiss the '494 Patent, per the agreed stipulation referenced above. This case is pending before the Honorable Yvonne Gonzalez Rogers in the Oakland division. The parties had completed expert discovery and summary judgment briefing, but stipulated to a stay of the litigation pending the completion of the appellate review in the ESET action. The court entered the stay order on June 17, 2021.

On March 6, 2020, Finjan filed a lawsuit against Trustwave Holdings, Inc. et al. in the United States District Court for the District of Delaware (Case No. 1-20-cv-00371), alleging that Trustwave infringes one of the ten patents asserted against PAN in the instant lawsuit, specifically the '154 Patent. This case is pending before the Honorable Leonard P. Stark in the Wilmington division. Judge Stark entered a scheduling order, with trial set for June 12, 2023. Most recently, the parties submitted their Joint Claim Construction Chart on January 6, 2022. (Case No. 1-20-cv-00371, Dkt. No. 131)

| 1 | CASE MANAGEMENT ORDER | | |
|----|---|--|--|
| 2 | The above Joint Case Management Statement AND PROPOSED ORDER is approved as | | |
| 3 | the Case Management Order for this case and the parties shall comply with its provisions. | | |
| 4 | IT IS SO ORDERED. | | |
| 5 | | | |
| 6 | Dated: | | |
| 7 | United States District Court Judge Honorable James Donato | | |
| 8 | Honorable James Donato | | |
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| | 1 Case No. 4:14-cv-04908-JD JOINT CASE MANAGEMENT STATEMENT AND [PROPOSED] ORDER | | |